

STATE OF NEW YORK DEPARTMENT OF TRANSPORTATION
HIGHWAY WORK PERMIT APPLICATION FOR UTILITY WORK

Application is hereby made for a highway work permit:

For Joint application, name and address of Applicant 2 below:

Name Silo Ridge Ventures, LLC

Name

Address 5021 Route 44

Address

City Amenia State NY Zip 12501

City State Zip

Charge Account Code

Applicant Phone (845) 373-8020

Applicant Email Address ptorres@siloridge.com

Emergency Contact Pedro Torres

Emergency Contact Phone (561) 644-0692

(To be completed by NYSDOT issuing office)
Project Identification No.
Highway Work Permit No.

RETURN PERMIT TO (if different than above):

RETURN OF DEPOSIT/BOND TO (if different from Permittee):

Name

Name

Address

Address

City State Zip

City State Zip

- 1) Estimated cost of work being performed in highway right-of-way: \$ 75,000
2) Anticipated duration of work: From Oct 2015 to Nov 2015
3) Insurance (check one): [X] General Liability Ins. [] Undertaking

DESCRIPTION OF PROPOSED WORK: Four utility lines are proposed to be installed under US Route 44. Two 8" water lines and two 14" sanitary sewer line are proposed to be installed by means of directional boring.

WILL OVERHEAD OR UNDERGROUND (5'+) OPERATIONS BE INVOLVED IN THE PROPOSED WORK? YES [X] NO

ATTACHED: Plans [X] Specifications

LOCATION: State Route: US Route 44 Between Reference Markers and
City/Town/Village of Amenia County of Dutchess

SEQR REVIEW (select one) [] Type II [X] Type I [] Unlisted LEAD AGENCY: Town of Amenia DATE OF DETERMINATION: May 15, 2014

NOTE: PERMIT IS ISSUED CONTINGENT UPON LOCAL REQUIREMENTS BEING SATISFIED

ACKNOWLEDGMENT: ON BEHALF OF THE APPLICANT, I HEREBY REQUEST A HIGHWAY WORK PERMIT, AND DO ACKNOWLEDGE AND AGREE TO THE RESPONSIBILITIES OF PERMITTEE AND THE OTHER OBLIGATIONS SET FORTH IN THIS PERMIT AND WARRANT COMPLIANCE THEREWITH.

Applicant Signature [Signature] Date 8/8/2014
Applicant 2 Signature Date

Approval recommended by Resident Engineer Res No Date
Approved by Regional Traffic Engineer Reg No Date

Operational Type and Description		PERMIT FEES				
		Base Fee	Number of feet/poles	Unit Rate	Sub Total	Total Fees
ORIGINAL INSTALLATION						
1a1	Underground - excavation, tunneling, boring, installing, etc.	32	227.5 ft	.32/foot	\$72.80	
1a2	Underground - Commercial subsurface connection	32		.32/foot		
1a3	Underground - Residential subsurface connection	32		.32/foot		
1b1	Overhead - Erecting poles, towers	63		2.50/unit		
1b2	Overhead - Running new lines	63				
1b3	Overhead - Commercial service connection	19				
1b4	Overhead - Residential service connection	19				
1c1	Installation on bridge or culvert	63				
1c2	Installation on bridge or culvert requiring structural changes	625				
MAINTENANCE						
2a	Maintenance, single job	32				
2b1	Annual maintenance per region	2500				
2b2	Annual maintenance per county	625				
2c	Repair of water or sewer lines	32				
2d	DOT requested maintenance	N/C				
AFTER ORIGINAL CONSTRUCTION						
3a1	Annual – includes overhead connections – per region	2500				
3a2	Annual – includes overhead connections – per county	625				
3b	DOT requested relocation	N/C				
3c	Commercial subsurface service connection	32		.32/foot		
3d	Commercial overhead service connection	19				
3e	Residential subsurface service connection	32		.32/foot		
3f	Residential overhead service connection	19				
MISCELLANEOUS UTILITY WORK						
4	Miscellaneous (describe below)	32				

UTILITY CHARGE ACCOUNT NUMBER: _____

PERFORMANCE SECURITY (Select one): Guarantee Deposit - Cash [] Performance Bond [] Letter of Credit []

Guarantee Deposit Amount: _____

Guarantee Deposit Check Number or Bond Number _____

PERM 32 Submission Package Requirements

In addition to Form **PERM 32**, the final submission package should include the following (check all that apply):

	Stamped Final Plans – Submit in PDF file format on CD, and _____ paper copies (1" = 50') as requested
	Permit Fee
	ACORD 25 - Certificate of Insurance, NYSDOT named as additional insured (See Insurance Requirements below)
	ACORD 855 - New York Construction Certificate of Liability Insurance Addendum
	PERM 36 - Attachment to Highway Work Permit – Consultant Inspection, if applicable
	PERM 44 - Surety Bond – Performance bond in Applicant's name, or deposit (Bank cashier's check required)
	PERM 50 – Inspection/Supervision Payment Agreement, if applicable
	Proof of Worker's Compensation Insurance (Form C-105.2, U-26.3 or SI-12), or proof of exemption (Form CE-200)
	Proof of Disability Benefits Coverage (Form DB-120.1 or DB-155), or proof of exemption (Form CE-200)
	Undertaking Agreement, if applicable

Insurance Requirements

- 1) In most cases, Permittee must provide proof of **Commercial General Liability** insurance coverage with limits of liability not less than \$1,000,000 per claim/occurrence, unless any of the following circumstances exist, in which case the limits of liability shall not be less than \$5,000,000 per claim/occurrence:
 - (a) The estimated value of permitted work in state right-of-way is \$250,000 or more;
 - (b) The permitted work requires or includes the construction, alteration or maintenance of underground features at any depth five feet or more below grade;
 - (c) The permitted work requires or includes the construction, alteration or maintenance of overhead features that include, but are not limited to, traffic signals, overhead sign structures, retaining walls or other grade separation structures.
- 2) Exceptions to the above liability limits include: (a) Annual maintenance permits require limits of liability not less than \$5,000,000 per claim/occurrence; (b) Permits for vegetation control activities require limits of liability not less than \$1,000,000 per claim/occurrence; (c) Residential driveway permits require limits of liability not less than \$500,000 per claim/occurrence; and (d) Adopt-a-Highway permits are exempt.
- 3) ACORD 25 with ACORD 855 (New York Construction Addendum) shall be submitted as acceptable proof of liability coverage. New York State Department of Transportation should be named as Additional Insured and as the Certificate Holder at the mailing address of the Regional Office who will be issuing the permit.
- 4) Municipalities, public utilities, public authorities and railroads may elect to provide an executed Undertaking agreement as a substitute for providing the insurance coverage and other financial security otherwise required.
- 5) When the estimated cost of work being performed in the right-of-way equals or exceeds \$250,000, Permittee must additionally provide proof of a **Protective Liability (OCP)** insurance policy with a minimum liability limit of \$1,000,000 per occurrence, with New York State Department of Transportation as Named Insured.

STATE OF NEW YORK DEPARTMENT OF TRANSPORTATION
HIGHWAY WORK PERMIT APPLICATION FOR NON-UTILITY WORK

Application is hereby made for a highway work permit:

For Joint application, name and address of Applicant 2 below:

Name Silo Ridge Ventures, LLC
Address 5021 US Route 44
City Amenia State NY Zip 12501

Name
Address
City State Zip

Applicant Phone (845) 373-8020
Applicant Email Address ptorres@siloridge.com
Emergency Contact Pedro Torres
Emergency Contact Phone (561) 644-0692

(To be completed by NYSDOT issuing office)
Project Identification No.
Highway Work Permit No.

RETURN PERMIT TO (if different than above):

RETURN OF DEPOSIT/BOND TO (if different from Permittee):

Name
Address
City State Zip

Name
Address
City State Zip

- 1) Estimated cost of work being performed in highway right-of-way: \$ 160,000
2) Anticipated duration of work: From Oct 2015 to Nov 2015
3) Insurance (check one): [X] General Liability Ins. [] Undertaking [] Insurance Fee (residential driveways only)

DESCRIPTION OF PROPOSED WORK: The proposed work will occur along NYS Route 22 and US Route 44. Along NYS Route 22 the applicant proposes resurfacing the existing driveway at the south entrance. At the main entrance the applicant proposes installing a left turn lane and transplanting trees in the NYSDOT right of way. Additionally, to the north of the main entrance a temporary entrance is proposed. Along US Route 44 a curb cut for driveway access are proposed for the Wastewater Treatment Plant and the emergency access for the Vineyard Cottages. At the hairpin turn the applicant will address the drainage concerns by regrading the existing roadside swale and discharging the 24" RCP into a closed drainage system on the Silo Ridge property. Also, signage along Route 44 is proposed for the Winery and the existing driveway will be used for access to the Winery, Overlook and Vineyard Cottages.

WILL OVERHEAD OR UNDERGROUND (5'+) OPERATIONS BE INVOLVED IN THE PROPOSED WORK? YES [] NO [X]

ATTACHED: Plans [X] Specifications []

LOCATION: State Route: NYS Route 22 and US Route 44 Between Reference Markers and
City/Town/Village of Amenia County of Dutchess

SEQR REVIEW (select one)

[] Type II [X] Type I [] Unlisted LEAD AGENCY: Town of Amenia DATE OF DETERMINATION: May 15, 2014

NOTE: PERMIT IS ISSUED CONTINGENT UPON ALL LOCAL REQUIREMENTS BEING SATISFIED

ACKNOWLEDGMENT: ON BEHALF OF THE APPLICANT, I HEREBY REQUEST A HIGHWAY WORK PERMIT, AND DO ACKNOWLEDGE AND AGREE TO THE RESPONSIBILITIES OF PERMITTEE AND THE OTHER OBLIGATIONS SET FORTH IN THIS PERMIT AND WARRANT COMPLIANCE THEREWITH.

Applicant Signature [Signature] Date 8/8/2014
Applicant 2 Signature [Signature] Date

Approval recommended by Resident Engineer Res No Date
Approved by Regional Traffic Engineer Reg No Date

Operational Type and Description		Permit Fee	Insurance Fee	CGL or Undertaking	Total Fees
DRIVEWAYS					
5a1	Residential Driveway	15	25		
5a2	Commercial – Minor	550			
5a2a	Commercial – Minor (Home Business)	100			
5a3	Commercial – Major (Less than 100K sq ft Gross Building Area)	1,400		2	\$2,800
5a4	Commercial – Major (100K+ sq ft) [Actual cost - \$2,000 min]	2,000			
5a5	Subdivision street	900		2	\$1,800
5a6	Temporary access road or street	200		1	\$200
IMPROVEMENTS					
5b1	Residential	15			
5b2a	Commercial- Sidewalk, curb paving, drainage, etc.	200		1	\$200
5b2b	Commercial – Grade, seed, improve land contour, clear brush	100			
5b2c	Commercial – Resurface existing road or driveway	50		1	\$50
5b2d1	Annual resurfacing of roadways and driveways – PER COUNTY	150			
	Number of counties:				
5b2d2	Annual resurfacing of roadways and driveways – PER REGION	400			
TREE WORK					
5c1	Residential	15			
5c2a	Commercial removal or planting	25			
5c2b	Commercial pruning, applying chemicals to stumps	25			
5c3	Vegetation control for advertising signs – PER SIGN	150			
	Number of Signs:				
MISCELLANEOUS CONSTRUCTION AND WORK OPERATIONS					
5d1	Beautify ROW (civic groups only)	N/C			
5d2a	Temporary signs, banners, décor (not-for-profit organizations)	N/C			
5d2b	Temporary signs, banners, décor (Other organizations)	25			
5d3	Traffic control signals	500			
5d4	Warning and entrance signs	25		4	\$100
5d5	Miscellaneous – Requiring substantial review (describe below)	400			
5d6	Miscellaneous (describe below)	25			
OTHER TYPES OF HIGHWAY WORK PERMITS					
6	Encroachment caused by DOT acquisition of property	25			
7a1	Compulsory permit required for demolition requested by DOT	N/C			
7a2	Compulsory permit required for moving requested by DOT	N/C			
7b	Improvement to meet Department standards	N/C			
8	Miscellaneous (describe below)	25			
9	Adopt-a-Highway	N/C			
	Description of Miscellaneous Operation:				

PERFORMANCE SECURITY (Select one): Guarantee Deposit - Cash [] Performance Bond [] Letter of Credit []

Guarantee Deposit Amount: _____

Guarantee Deposit Check Number or Bond Number _____

PERM 33 Submission Package Requirements

In addition to Form **PERM 33**, the final submission package should include the following (check all that apply):

	Stamped Final Plans – Submit in PDF file format on CD, and _____ paper copies (1" = 50') as requested
	Permit Fee (Including Insurance Fee for Residential Driveways)
	ACORD 25 - Certificate of Insurance, NYSDOT named as additional insured (See Insurance Requirements below)
	ACORD 855 - New York Construction Certificate of Liability Insurance Addendum
	PERM 36 - Attachment to Highway Work Permit – Consultant Inspection, if applicable
	PERM 44 - Surety Bond – Performance bond in Applicant's name, or deposit (Bank cashier's check required)
	PERM 50 – Inspection/Supervision Payment Agreement, if applicable
	Proof of Worker's Compensation Insurance (Form C-105.2, U-26.3 or SI-12), or proof of exemption (Form CE-200)
	Proof of Disability Benefits Coverage (Form DB-120.1 or DB-155), or proof of exemption (Form CE-200)
	Undertaking Agreement, if applicable

Insurance Requirements

- 1) In most cases, Permittee must provide proof of **Commercial General Liability** insurance coverage with limits of liability not less than \$1,000,000 per claim/occurrence, unless any of the following circumstances exist, in which case the limits of liability shall not be less than \$5,000,000 per claim/occurrence:
 - (a) The estimated value of permitted work in state right-of-way is \$250,000 or more;
 - (b) The permitted work requires or includes the construction, alteration or maintenance of underground features at any depth five feet or more below grade;
 - (c) The permitted work requires or includes the construction, alteration or maintenance of overhead features that include, but are not limited to, traffic signals, overhead sign structures, retaining walls or other grade separation structures.
- 2) Exceptions to the above liability limits include: (a) Annual maintenance permits require limits of liability not less than \$5,000,000 per claim/occurrence; (b) Permits for vegetation control activities require limits of liability not less than \$1,000,000 per claim/occurrence; (c) Residential driveway permits require limits of liability not less than \$500,000 per claim/occurrence; and (d) Adopt-a-Highway permits are exempt.
- 3) ACORD 25 with ACORD 855 (New York Construction Addendum) shall be submitted as acceptable proof of liability coverage. New York State Department of Transportation should be named as Additional Insured and as the Certificate Holder at the mailing address of the Regional Office who will be issuing the permit.
- 4) Municipalities, public utilities, public authorities and railroads may elect to provide an executed Undertaking agreement as a substitute for providing the insurance coverage and other financial security otherwise required.
- 5) Homeowners may pay a \$25 Insurance Fee in lieu of providing proof of insurance. Any contractor performing on behalf of a homeowner and who is named on the permit must provide proof of insurance as outlined above.
- 6) When the estimated cost of work being performed in the right-of-way equals or exceeds \$250,000, Permittee must additionally provide proof of a **Protective Liability (OCP)** insurance policy with a minimum liability limit of \$1,000,000 per occurrence, with New York State Department of Transportation as Named Insured.